

# TOPIC PARTICLES IN LANGUAGES OF CHINA

## 中国语言的话题助词

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In this talk, I survey topic particles in a set of representative languages of China and represent their syntactic and semantic functions.

Structure:

- A. Typological Survey
  - 1. No Topic Particle
  - 2. One Topic Particle
  - 3. Two Topic Particles
  - 4. Three Topic Particles
- B. Semantic Properties
- C. Syntactic Properties

### A. Typological Survey

Summary:

Form	Language	Productive	Scope	Functions	Unrelated Functions
ba 吧	Chinese	No	NP	[MT, RT]	Illocutionary Particle
ne 呢	Chinese	No	NP	[MT, RT]	Illocutionary Particle
ma	Mangghuer 土族	No	NP	[MT, RT]	Illocutionary Particle
ŋuən̩i	Qiang 羌	Yes	NP	[MT, RT, CT]	---
nend	Hmu 苗	Yes	NP, VP	[MT, RT, CT]	Demonstrative, Illocutionary Particle
ge <sup>11</sup>	Zaiwa 景颇	Yes	NP, VP	[MT, RT, CT]	---
no <sup>33</sup>	Neasu 彝	Yes	NP, VP	[MT, RT, CT]	(Demonstrative,) Definite Article
ne	Nuosu 彝	Yes	NP, VP	[MT, RT]	---
li	Nuosu 彝	Yes	NP, VP	[CT]	---
me	Tujia 土家	Yes	NP	[MT, RT]	---
le	Tujia 土家	Yes	NP	[CT]	---
nya	Lisu 傈僳	Yes	NP	[MT, RT]	---
xe	Lisu 傈僳	Yes	NP	[CT]	---
oci	Manchu 满	Yes	NP	[MT, RT, CT]+factual mood	Verb o- 'become, be'
seci	Manchu 满	Yes	NP	[MT, RT, CT]+factual mood	Verb se- 'say, call, mean'
seme	Manchu 满	Yes	NP	[MT, RT, CT]+hypothetical mood	Verb se- 'say, call, mean'

Topic Functions:

- Maintaining Topic (MT)
- Resuming Topic (RT)
- Contrastive Topic (CT)

## 1. No Topic Particle

- Maonan 毛南 (Lu, 2008)

In most Tai-Kadai languages, topics are not morphologically marked by a dedicated marker.

- |     |   |                    |
|-----|---|--------------------|
| (1) | Maonan (毛南)   | (Lu, 2008:229-231) |
| a.  | ka:i <sup>5</sup> ju:n <sup>3</sup> ha <sup>3</sup> li:u <sup>5</sup><br>chicken    all          kill      PF   | Common Noun        |
|     | 'All the chickens were killed.'   |                    |
| b.  | van <sup>1?</sup> nun <sup>1</sup> tɔk <sup>7</sup> li:u <sup>5</sup> bu:ŋ <sup>6</sup> sai <sup>3</sup> zi <sup>2</sup><br>yesterday   drop      PF          CL          key | Temporal Adverb    |
|     | 'A bunch of keys was missing yesterday.'  |                    |

- Chinese 汉

In Chinese and Chinese dialects, there are no dedicated topic markers but some sentence-end particles can be used as topic markers. The sentence-end particles are not productive as topic markers. They mark maintaining or resuming but not contrastive topics.

### Chinese

- |        |  |  |
|--------|--|--|
| (2) a. | 你们    明天          来    这儿    吧!<br>nǐmen   míngtiān   lái   zhè'er <b>ba!</b><br>2.PL    tomorrow   come here   ILL  |  |
|        | 'Why don't you come here tomorrow?' (Illocutionary particle)   |  |
| b.     | 他        吧          总是    找      不      到      路。<br>tā <b>ba</b> zongshi   zhǎo    bu      dào      lù.<br>3.SG    TOP      always   search   NEG   arrive   road |  |
|        | 'He (topic), never finds his way.' (Topic particle)  |  |
| (3) a. | 他们    有          三      头      牛      呢。<br>tāmen   yǒu      sān      tóu      niú <b>ne.</b><br>3.PL    have      NUM:3   CL      cattle   ILL                    |  |
|        | 'Listen, they have three cattle.' (In response to earlier claim by addressee)  |  |
| b.     | 他        呢?      去      哪儿?<br>tā <b>ne?</b> qù      nǎr?<br>3.SG    TOP      go      where  |  |
|        | 'He? Where did he go?' (Used to ask question about someone present)  |  |

- Mangghuer 土族 (Slater 2003)

Mangghuer 土族 (Slater 2003) is a Mongolian language spoken in Qianghai Province. It has extensively borrowed from Chinese. Mangghuer does not use a specialized and dedicated form to mark topics. Mangghuer has borrowed from Chinese the imperative marker **ma** 嘛. This marker can also be used to mark topics.

- (4) Mangghuer (土族) (Slater, 2003:189)
- a. Beghe zhuer=sa -Ø huni maoke-lang | Zero-marked Topic  
 tree bottom TOP smoke Waft-OBJ:IMPERF  
 ‘From the bottom of the tree smoke was wafting out.’
- b. Qi=ni aba=ni wazi=ni He-ji ri ma. | **ma** as Imperative Marker  
 2P SG=GEN father=GEN sock=ACC take-IMPERF come ILL  
 ‘Bring your father’s socks.’
- c. Ni-ge luoti=ni -ma chuna qi musi. | **ma** as Topic Marker  
 DEM-CL boot=ACC TOP wolf 2P SG wear  
 ‘These boots, Wolf, you wear (them).’

## 2. One Topic Particle

- Qiang 羌 (La Polla & Huang, 2003)

Qiang 羌 uses one topic marker, the suffix **-ɲuəni** which is optional. It can occur on initial noun phrases or on nominalized clauses, but it seems unavailable on verb phrases to function as conditional conjunction.

- (5) a. dzə-**ɲuəni** qa the:-ta kə-ji-wa. | NP  
 affair-**TOP** 1S 3S-LOC thus-say-3S.O.1S  
 ‘I told him about the affair.’
- b. [pətsa-ɲi-zə-pə-ji-pənə-la-ha]-**ɲuəni** qa the: de-l-wa | Nominalization  
 just.now-ADV-DIR-buy-CSM-thing-DEM-PL-**TOP** 1S 3S DIR-give-3S.O.1S  
 ‘I gave him the things that were just bought.’

The topic marker can occur on any of up to three topics. It can mark maintaining and contrastive topic.

- (6) tsa-tau-tu-**ɲuəni** kapətʂ-tou-**ɲuəni** tsa-χsa<sup>r</sup>wu gul hē-se-kei-stu... | Double Topics  
 this-time-LNK-**TOP** orphan-DEF.CL-**TOP** this-few.all friend DIR-make-INF.HS-PART  
 ‘This time, the orphan had made friends with all these...’
- (7) pitɕ-**ɲuəni** zɰmətʂi ʂpi-ʂ, qə:<sup>r</sup>-**ɲuəni** juanɰuai zɰmə | Maintaining & Contrastive  
 now-**TOP** emperor call-LNK before-**TOP** yuanwai be-named  
 ‘Now he’s called emperor, in the past, he was called Yuanwai.’

- Hmu 苗 (own fieldwork)

In Hmu 苗, one of five demonstrative pronouns (encoding the value ‘medium distance from speaker and addressee’) has grammaticalized to become a topic marker.

- (8) Hmu (苗)
- a. maix ib dail naix nongt gangl mongx hangd **nend** dax. | Demonstrative Pronoun  
 have NUM.1 CL man will from 2P SG place **DEM.2.MED** come  
 ‘There is someone who will be coming out from your place.’

- b. dol naix **nend** nongt ait gheb. | Noun Phrases  
 CL man **TOP** should do work | Topic Particle  
 'As for people, they should be working.'
- c. (Hangd) hmat not **nend**, ghax ax vut. | Verb Phrases  
 if speak much **TOP** then NEG good | Topic Particle  
 'If one speaks too much, it is for no good.'

- Zaiwa 景颇 (Lustig, 2010)

Zaiwa 景颇 is a Northern Burmish (Tibeto-Burman) language. In Zaiwa, topics can be marked by the marker *ge*<sup>11</sup>. It is attached to noun phrases and to clauses (verb phrases). When *ge*<sup>11</sup> is attached to clauses, it functions as conditional ('if') or causal ('since') conjunction.

- (9) Zaiwa (景颇) (Lustig, 2010:149, 255)
- a. Ngo<sup>31</sup> **ge**<sup>11</sup> zang<sup>11</sup> zo<sup>31</sup> lye<sup>31</sup>, nang<sup>31</sup> **ge**<sup>11</sup> a<sup>1</sup>-zo<sup>31</sup> ra<sup>31</sup> luq<sup>1</sup> | Pronoun  
 1P SG **TOP** food eat **also+I** 2P SG **TOP** NEG-eat need Y/N  
 '[After drinking booze] I'm having rice, won't you have some?'
- b. Zaq<sup>1</sup> zo<sup>11</sup> lo<sup>31</sup> r<sup>11</sup> **ge**<sup>11</sup>, (...) | Conditional Conjunction  
 only eat no.more+1 also **TOP**  
 'If the only thing we can do is starting eating, then...'
- c. Nang<sup>31</sup> muyang<sup>11</sup> lye<sup>31</sup> **ge**<sup>11</sup>, hu<sup>31</sup> a<sup>1</sup>zeng<sup>35</sup> be<sup>1</sup>-yu<sup>31</sup> bi<sup>11</sup> raq<sup>5</sup> ma<sup>11</sup>. | Causal Conjunction  
 2P SG also **TOP above** thing help-take give VO ROUS  
 'Since you are tall, please grab that thing there up for me...'

- Neasu 彝 (Gerner, 2003)

The Neasu (彝) language spoken in Western Guizhou province has a topic marker derived from the medial demonstrative pronoun. The synchronic Neasu determiner system consist of the following six forms

	PROXIMAL	MEDIAL	DISTAL
Demonstratives	t <sup>h</sup> a <sup>55</sup>	na <sup>55</sup>	ga <sup>55</sup>
Definite articles	t <sup>h</sup> ɔ <sup>33</sup>		gɔ <sup>55</sup>
Topic marker		nɔ <sup>33</sup>	

Table: Demonstratives, articles and topic marking in Weining Neasu

The three demonstrative pronouns underwent sound changes (*aphaeresis/apocope/tone lenition*). They merged with the Neasu proto-classifier \*mo which disappeared after the merger was complete.

	Aphaeresis	Apocope + Tone Lenition/Deletion
Proximal Demonstrative	t <sup>h</sup> a <sup>55</sup> + mo <sup>33</sup> → t <sup>h</sup> a <sup>55</sup> + o <sup>33</sup>	→ t <sup>h</sup> ɔ <sup>33</sup>
Medial Demonstrative	na <sup>55</sup> + mo <sup>33</sup> → na <sup>55</sup> + o <sup>33</sup>	→ nɔ <sup>33</sup>
Distal Demonstrative	ga <sup>55</sup> + mo <sup>33</sup> → ga <sup>55</sup> + o <sup>33</sup>	→ gɔ <sup>55</sup>

The topic marker **nɔ̃<sup>33</sup>** has split from the medium demonstrative pronoun **na<sup>55</sup>** by first grammaticalizing into the definite article **nɔ̃<sup>33</sup>**. **Nɔ̃<sup>33</sup>** morphed later into a topic marker.

The noun phrase topic marker **nɔ̃<sup>33</sup>** identifies all three major types of topic: maintaining topic, and resuming topic and contrastive topic. The marker **nɔ̃<sup>33</sup>** is also productive as sentence topic marker. In different contexts, it can be interpreted as conditional, reason and circumstantial conjunction.

(10) **na<sup>21</sup> ma<sup>33</sup> ndza<sup>33</sup>, na<sup>21</sup> ʋo<sup>55</sup> a<sup>33</sup>nɣ<sup>55</sup> na<sup>55</sup> lɣ<sup>33</sup> ga<sup>55</sup> tɕa<sup>33</sup> li<sup>21</sup> kʲe<sup>33</sup>.**  
 2 P SG NEG tired, bother 2 P SG family woman **DEM.MED** CL CAUS take come SUG  
 ‘‘Don’t bother, allow that young woman in your house to bring [me the umbrella].’’

(11) a. **ɕi<sup>21</sup> ŋ<sup>33</sup>ts<sup>h</sup>ɣ<sup>33</sup>mi<sup>21</sup> t<sup>h</sup>ɔ̃<sup>33</sup> nɔ̃<sup>33</sup> tʂ<sup>h</sup>ɛ<sup>55</sup> p<sup>j</sup>ɛ<sup>13</sup>.** | Maintaining Topic  
 3 P SG hair ART:PROX **TOP** long very NP  
 ‘Her hair (topic) is very long.’ (NP topic)

b. **tɕi<sup>55</sup> nɔ̃<sup>33</sup> na<sup>21</sup> ʒo<sup>33</sup>-ma<sup>21</sup>-tsu<sup>55</sup> ɣɣ<sup>55</sup> tɕy<sup>33</sup> ʒo<sup>33</sup> sɣ<sup>13</sup>.** | Resuming Topic  
 wife **TOP** 2P SG beautiful<NEG> NOM NUM.9 CL select NP  
 ‘As for the wives, accept only the nine ugly ones.’

c. **na<sup>21</sup> tɕ<sup>h</sup>i<sup>55</sup> nɛ<sup>55</sup> ʒo<sup>21</sup> k<sup>h</sup>ɣ<sup>21</sup> nɔ̃<sup>33</sup> dzo<sup>21</sup> ma<sup>55</sup> dzu<sup>33</sup> ŋɣ<sup>55</sup>.** | Contrastive Topic  
 2 P SG wife NUM:2 CL marry **TOP** reasonable<NEG> COP NP  
 ‘It is not okay for you to marry two women.’ (Sentence topic)

(12) a. **na<sup>21</sup> ni<sup>33</sup> ma<sup>21</sup> t<sup>h</sup>ɣ<sup>55</sup> nɔ̃<sup>33</sup> k<sup>h</sup>ɲe<sup>21</sup> t<sup>h</sup>a<sup>21</sup> k<sup>j</sup>ɛ<sup>13</sup> t<sup>h</sup>ɣ<sup>55</sup> ŋo<sup>21</sup> t<sup>h</sup>u<sup>33</sup> tɕi<sup>33</sup> k<sup>h</sup>ɲe<sup>55</sup> tʂ<sup>h</sup>ɛ<sup>55</sup>** | Condition  
 2P SG heart NEG put **TOP** thread NUM.1 CL bind 1P SG ankle on bind Sentence Topic  
 ‘If you feel uncomfortable about this, just bind a thread around my ankle.’

b. **su<sup>21</sup> a<sup>33</sup>ma<sup>33</sup> ʋo<sup>21</sup> nɔ̃<sup>33</sup> ʒi<sup>21</sup> pu<sup>33</sup> k<sup>j</sup>ɛ<sup>33</sup> ɕi<sup>21</sup> ga<sup>55</sup> bi<sup>55</sup>.** | Reason  
 people mother have **TOP** water bucket ACC 3P SG CAUS carry Sentence Topic  
 ‘People who have a mother are allowed to have a water bucket.’

c. **ɕi<sup>21</sup> na<sup>33</sup> nɔ̃<sup>33</sup> ŋo<sup>21</sup> la<sup>13</sup> tʂu<sup>33</sup> a<sup>55</sup> t<sup>h</sup>a<sup>21</sup> pɔ̃<sup>13</sup> tʂ<sup>h</sup>ə<sup>21</sup> dɣ<sup>55</sup>ka<sup>55</sup> ɕi<sup>21</sup> ga<sup>55</sup> na<sup>33</sup>.** | Circumstance  
 3P SG look **TOP** 1P SG finger small NUM.1 CL stretch exit 3P SG CAUS look Sentence Topic  
 ‘When he is looking, I just let him see my little finger.’

### 3. Two Topic Particles

- Nuosu 彝 (Gerner, 2013)

In Nuosu (彝) there are two topic particles, *ne* and *li*.

- ▶ *Ne* marks **maintaining topic** (a piece of information fits under the ongoing discourse topic).
- ▶ *Li* encodes **contrasting topic** (a change in discourse topic or unexpected information about the discourse topic).

(13) Nuosu (彝)

a. **mu ti mu jy max su li ket mop mu jy max su nge.** | Common Noun  
 morning star ART **TOP** evening star ART COP

‘The morning star is the evening star.’ (Context: Someone might think that the morning star and the evening star are two different stars)

- b. mup shy dex **ne** vut nyop yur nyip nge. | Temporal Adverb  
 tomorrow TOP female name birthday COP  
 ‘Tomorrow is Vunyo’s birthday.’ (Context: Question about tomorrow)

- ▶ *Ne* and *li* are common topic markers, but are not morphologically required.
- ▶ Topics can be zero-marked morphologically.

(14)

<b>"co qot a mat<sub>1</sub></b> sorceress TOPIC	nga 1P.SG A	<b>cyx<sub>1</sub></b> 3P.SG O	shy overcome V	ap- NEG- V	dop able V	mu ADVL V	ddux. QUOT
	la ru dried meat O	<b>cy<sub>1</sub></b> 3P.SG A	gax COV.drop V	zze eat V	lox CONJ.and V	bbo ox" go DP V	

‘‘The sorceress, I could not overcome and the meat was taken away by her.’’ (Chen & Wu 1998: 239)

- Tujia 土家 (Brassett, 2006)

In Tujia 土家, there are two topic markers the difference of which appears to be maintaining vs. contrastive topic:

(15) Tujia (土家)

ka <sup>3</sup> firewood TOP	<b>me,</b> <b>TOP</b>	ang <sup>2</sup> ni <sup>1</sup> 1P PL	la <sup>1</sup> nie <sup>1</sup> hi <sup>4</sup> lie <sup>3</sup> every day	wo <sup>4</sup> carry	ya EXCL	Common Noun
‘Firewood, we carry [it] everyday.’						
si <sup>1</sup> lie <sup>1</sup> onŋ <sup>3</sup> confinement <b>TOP</b>	<b>le,</b> <b>TOP</b>	guo <sup>2</sup> 3P SG	duo <sup>3</sup> ra <sup>3</sup> lie <sup>3</sup> egg	nie <sup>3</sup> two	bu ta <sup>2</sup> bo <sup>3</sup> . CL fry STAT	Temporal Noun
‘In her confinement, she fries two eggs for herself.’ (Context: ‘‘Confinement’’ is the first month of a woman after she has given birth to a child.)						

- Lisu 傈僳 (Hope, 1974)

In Lisu 傈僳, there are two topic markers **nya** and **xe**. The first is a topic marker in simple clauses, the second is a topic marker placed after the topic in the second clause of a conjunctive clause.

(16) Lisu (傈僳) (Hope 1974)

ale Ale	<b>nya</b> <b>TOP</b>	thsibe banjo	thyea play	asa Asa	<b>xe</b> <b>and.TOP</b>	atha knife	de-a forge
‘Ale was playing the banjo and Asa was forging a knife.’							

#### 4. Three Topic Particles

- Manchu 满 (Gorelova 2002)

In Manchu 满, there are three topic markers which are diachronically derived from verbs, but which synchronically have come to mark NPs for topics.

Topic Particle	Origin	Function	Gloss
oci	o- 'become, be'	Factual NP-Topic Marker	'if being/becoming someone/something'
seci	se- 'say, call, mean'	Factual NP-Topic Marker	'if speaking about someone/something'
seme	se- 'say, call, mean'	Hypothetical NP-Topic Marker	'if we were to speak about someone/something'

The first two topic markers **oci** and **seci** are factual topic markers. They set the platform for facts reported about the topic. The topic marker **seme** introduces a hypothetical comment about the topic.

- (17) Manchu (滿) (Gorelova 2002: 410)
- a. etuhun urse **oci** ehe be yabu-me fafun be neci-mbi. | Common Noun  
powerful people **TOP** make-CONV ACC make-CONV law ACC violate-IMPF  
'As for the powerful people, they make evil and violate the law.'
- b. tere **seci** sin-i deo. | Common Noun  
that/he **TOP** you-GEN younger brother  
'As far as he is concerned, he is your younger brother.'
- c. uttu o-ho-de teni niyalma **seme** jalan de banji-re de yertecun aku o-mbi.  
so if(be-NOM-DAT) then person **TOP** world DAT live-NOM DAT shame exist.not become-IMPF  
'If so, then a man, while he were to live in the world, would not be ashamed.'

## B. Semantic Properties

As a diagnostics of topics, we use Portner & Yabushita (1998)'s necessary conditions which are

- familiarity,
- aboutness,
- lack of quantitative implicature, and
- wide scope interpretations.

### 1. Familiarity

The topic must refer to an entity that is familiar in discourse. Many linguists characterize *definiteness* as familiarity to discourse participants (definiteness ≈ familiarity). Topic markers cannot occur after entities that are newly introduced into discourse.

- **Examples (a):** New unfamiliar discourse participants can be introduced using a nominative case marker.
- **Examples (b):** New unfamiliar discourse participants **cannot** be introduced using a topic marker.

- (18) Hmu (苗)
- a. dail jib daib **nend** nongt duf dud. | Noun Phrases  
CL child **TOP** should read book | Topic Particle  
'The child should be studying.'
- b. \* jib daib **nend** nongt duf dud. | Noun Phrases  
child **TOP** should read book | Topic Particle  
'Children should be studying.'

## 2. Aboutness

Topics are traditionally characterized as the entities the sentence is “about”. The idea of aboutness can be illustrated with the following examples.

(19a) is taken from the opening section of a folk story. The first sentence of (19a) introduces the protagonist of the story. The second sentence establishes this new referent as major discourse topic by using the shifting topic marker *li*.<sup>1</sup>

(19) Nuosu (彝)

- a. གལ་ལྷན་དུ་གཤམ་བུ་མི་ཞེས་པའི་མི་ཞིག་གིས་། གཤམ་བུ་མི་ཞིག་ལ་ཞུས་པར་། རྩོམ་པར་། ...
- ga lu la da mu ddix bbox zze got gop ddix xip ma go  
 place name place man name be called DEM.INDEF CL LOC  
 it. bbox zze cyx ma li zza gat ddix ap bbo, ...  
 live man DEM CL TOP stingy not only

‘In Galulada there was a man whose name was Gogo. This man was not only stingy,...’

In (19b), the topic moves from the story’s protagonist to his wife and then to a particular day in their life. The noun phrases are marked by the maintaining topic particle *ne*.<sup>2</sup>

- b. འདྲེན་པའི་མི་ཞིག་གིས་ཀློང་ཁྱེད་ཀྱི་མུ་ལུ་ལྟོན་པར་། གྲུ་བུ་། གྲུ་ལྷན་པའི་མི་ཞིག་གིས་། ...
- ro ndit xip ma, tit cyp  
 earnest face put on DEM.INDEF CL however 3P.SG.POSS  
 xyp mop max su ne ssa hxuo ggup jjux ddop hxip get  
 wife ART TOP capable further word say can  
 xip ma cyp nyip ne, cyp jiet ddu ddip vip  
 DEM.INDEF CL NUM.1 day TOP 3P.SG.POSS home guest  
 cur gox xi la, ...  
 CL LOC arrive come

‘..., but was also putting on an earnest face. His wife, however, was skillful and capable in speech. On one day, there were guests who came to their home.’

Later, one of the following sentences is used:

- c. bbox zze got gop ddix max su ne jjiet bbo ox.  
 man name be called ART.DEF TOP leave go DP  
 ‘The man whose name was Gogo left.’
- d. bbox zze zza gat ddix ap bbo, ro ndit max su ne jjiet bbo ox.  
 man stingy not only earnest face put on ART.DEF TOP leave go DP  
 ‘The man who was not only stingy, but also putting on an earnest face left.’
- e. ## bbox zze xyp mop jjo max su ne jjiet bbo ox.  
 man wife have ART.DEF TOP leave go DP  
 ‘The man who was married left.’

Example (19c) and (19d) are acceptable, because the man is identified with information he was associated with when serving as topic in (19) and (19b). Example (19e) is odd, because the man is identified with information he was NOT associated with when serving as topic.

<sup>1</sup> Quoted from the folk story “The earnest man” (Chén & Wū 1998: 221).

<sup>2</sup> Quoted from the folk story “The earnest man” (Chén & Wū 1998: 221-222).



**Analysis:** Information about a discourse participant is available only when the discourse participant was associated with that information in the function of topic.

**Conclusion:** Aboutness is the process of associating information with a discourse participant in a way that makes the information available for the whole time of discourse.

### 3. Conversational Implicature

**Property:** Topic phrases do not have quantity implicatures.

Implicature Theory is a theory in Pragmatics developed by Paul Grice in the 1960s. The theory systematically investigates the implicatures of sentences in communication. The theory involves four “maxims” that trigger certain implicatures when the speaker obeys to the maxims.

- (20) a. Maxim of Quality:            i. do not say what you believe to be false  
    ii. do not say that for which you lack adequate evidence
- Maxim of Quantity:            i. make contribution as informative as is required for the current purposes of the exchange  
    ii. do not make your contribution more informative than is required
- Maxim of Relevance:            i. make your contributions relevant.
- Maxim of Manner:            i. avoid obscurity  
    ii. avoid ambiguity  
    iii. be brief  
    iv. be orderly

- **Examples** of an implicature triggered by the *Maxim of Quality*:

- (21) a. John has a PhD. (Statement)             $\Rightarrow$  I believe he has, and have adequate evidence that he has.  
      b. Does your farm contain 400 acres? (Question)             $\Rightarrow$  I don't know that it does, and I want to know if it does.

- **Examples** of an implicature triggered by the *Maxim of Relevance*:

- (22) Pass the salt.             $\Rightarrow$  Pass the salt *now*.

- **Examples** of an implicature triggered by the *Maxim of Manner*:

- (23) a. Alfred went to the store and bought some whiskey.             $\Rightarrow$  The events happened exactly in this order.  
      b. #Alfred bought some whiskey and went to the store.             $\Rightarrow$  (The sentence reflects an order of events that is not natural.)

- **Examples** of an implicature triggered by the *Maxim of Quantity*:

- (24) a. Nigel has 14 children.             $\Rightarrow$  Nigel has *only* 14 children and not more.  
      b. John and Mary are running.             $\Rightarrow$  *Only* John and Mary are running.

- **Explanation:** (a) is compatible with “Nigel has 20 children”, but It shall be taken to implicate that he has only 14 and no more because had he 20, then by the maxim of Quantity (“say as much as required”) I should have said so.

- **Explanation:** (b) takes the subject “John and Mary” as encoding the number two, for which the same implicature can be construed as for (a).

**Important Property:** Non-topic clauses have quantitative implicatures, whereas topic clauses have not.

(25) Nuosu (彝)

a. 𑄎𑄛 𑄎𑄛 𑄎𑄛 𑄎𑄛 𑄎𑄛?

ip nyip kax ddi ma nga shex?  
today INT.who CL 1P.SG look for

(Question)

‘Who was looking for me today?’

b. mu ga nga shex ox.  
Muka 1P.SG look for DP

$\Rightarrow$   
implicates

**Only** Muka has been looking for me.

‘Muka has been looking for me.’

c. mu ga **ne** nga shex ox.  
Muka **TOP** 1P.SG look for DP

~~$\Rightarrow$~~   
does not implicates

**Only** Muka has been looking for me.

‘Muka has been looking for me.’

(26) a. 𑄎𑄛 𑄎𑄛 𑄎𑄛 𑄎𑄛 𑄎𑄛?

ne vit gga xix ggu cy qi?  
2P.SG clothes INT.what CL wash want

(Question)

‘What clothes do you want to wash?’

b. vit gga cyx gge nga cy qi.  
clothes DEM.PROX CL 1P.SG wash want

$\Rightarrow$   
implicates

I **only** want to wash these clothes.

‘I want to wash these clothes.’

c. vit gga cyx gge **li** nga cy qi.  
clothes DEM.PROX CL **TOP** 1P.SG wash want

~~$\Rightarrow$~~   
does not implicates

I **only** want to wash these clothes.

‘I want to wash these clothes.’

#### 4. Topics have wide scope over attitude verbs

Attitude verbs are mental verbs such as *think, wish, fear...* In formal semantics, attitude verbs are represented by an operator  $\Box$ . Noun phrases make an indirect claim about the existence of their referent ( $\exists x$ ). Attitude verbs might restrict the existence of a noun phrase referent to the context of the attitude. In (27), the person might not exist in the real world, his existence might be restricted to John’s fear-world.

(27) John is afraid that someone follows him.

**Property:** Non-topic phrases are in the scope of attitude verbs. Topic phrases have wide scope over attitude verbs.

(27) a. 𑄎𑄛 𑄎𑄛 𑄎𑄛 𑄎𑄛 𑄎𑄛 𑄎𑄛。

mu ga ax di ip ko pop da ddep lox.  
Muka only door open STP WISH

$\Box \exists x S(x)$

‘It is desirable that only Muka opens the door.’

b. mu ga ax di **ne** ip ko pop da ddep lox.  
Muka only **TOP** door open STP WISH

$\exists x \Box S(x)$

‘Muka (topic) is the only one for whom it is desirable to open the door.’

(28) a. 𑄎𑄛 𑄎𑄛 𑄎𑄛 𑄎𑄛 𑄎𑄛 𑄎𑄛。

mu ga ax di a hnat mu shyr mat.  
Muka only very much shout FEAR

$\Box \exists x S(x)$

‘I am afraid only of Muka’s shouting.’

b. mu ga ax di **li** a hnat mu shyr mat.  
Muka only **TOP** very much shout FEAR

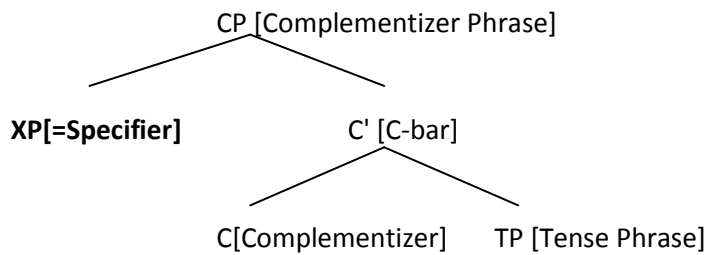
$\exists x \Box S(x)$

‘Muka (topic) is the only one whose shouting makes me fear.’

## C. Syntactic Properties

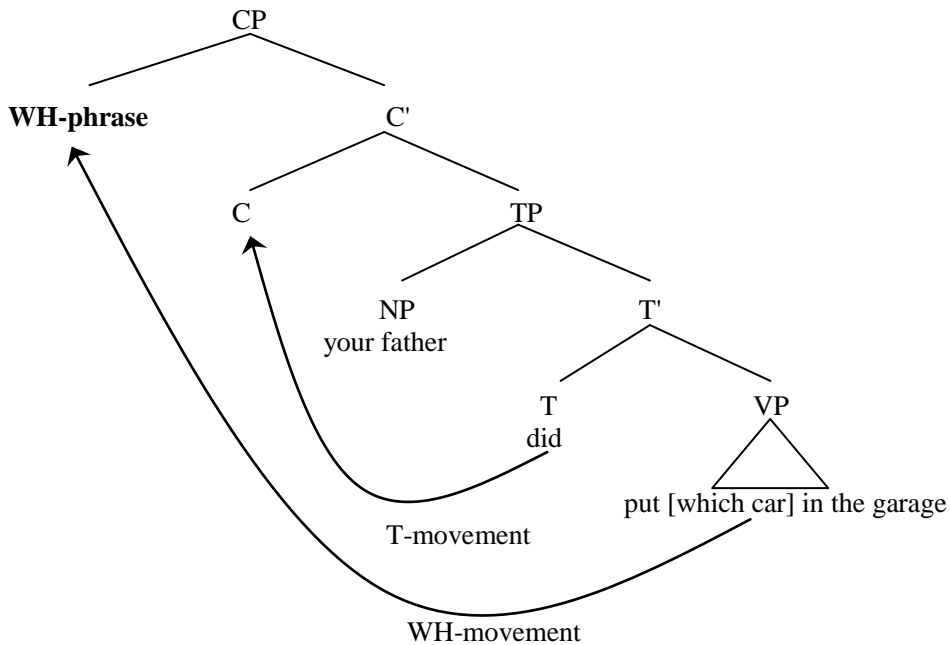
### 1.1 Bound topics and movement

- Topics always occur in leftmost extra-clausal position.
- In Chomsky's X-bar theory, the leftmost extra-clausal position is the specifier slot of CP.



- Generative linguists think that at least some topics are the result of a kind of WH-movement.
- Quick presentation of WH-movement (Chomsky 1977):

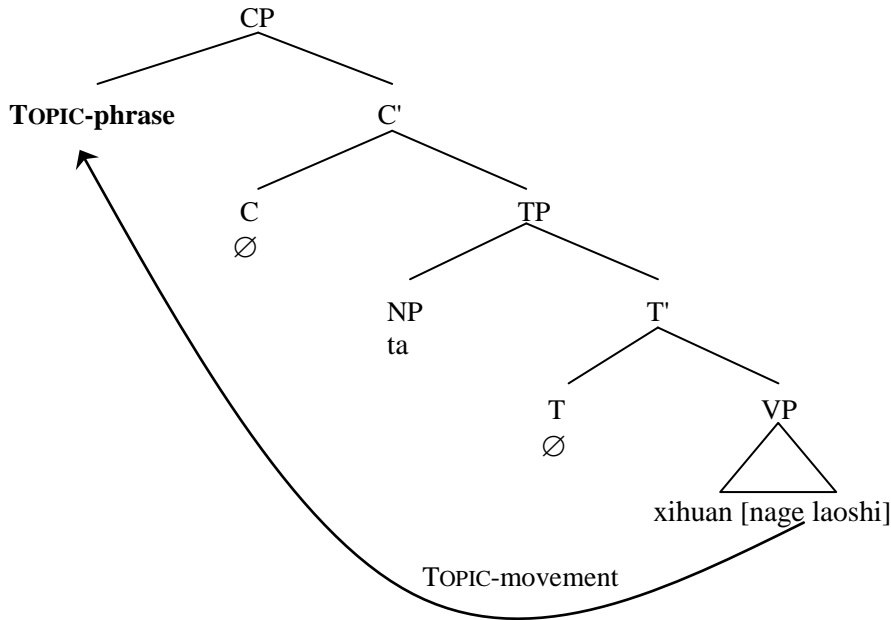
- (29) a. Echo-question: Your father did put which car in the garage?  
 b. Non-echo-question: Which car did your father put in the garage?



- TOPIC-movement is modeled on WH-movement.

- (30) a. ta xihuan [nage laoshi]  
 3.SG like that teacher  
 'He likes that teacher.'

- b. [nage laoshi]<sub>1</sub> ta xihuan  $\emptyset_1$ .  
 that teacher 3.SG like  
 ↑  
 ‘That teacher, he likes.’



- **Aim:** Show evidence for the TOPIC-movement analysis by showing that the topic-phrase is somehow linked to an argument position of the sentence.
- **Subcategorization argument:** It shouldn't be possible to drop the topic phrase in topic-prominent languages.
- **Problem #1:** In Chinese and other languages, most transitive verbs allow *pro-drop* (can drop the arguments).

- (31) ta xihuan.  
 3.SG like  
 ‘\*He likes.’

- **Problem #2:** Verbs that disallow object drop in non-topic clauses, also disallow object drop in topic clauses.

- (32) a. 新政策会繁荣[金融市场 / \* $\emptyset$ ].  
 xin zhengce hui fanrong [jinrong shichang / \* $\emptyset$ ].  
 new policy will boom money market  
 ‘The new policy will cause the money market to boom.’ (Yuan 1996)

- b. 金融市场<sub>1</sub> 新政策会繁荣[#它 / \* $t_1$ ].  
 [jinrong shichang]<sub>1</sub> xin zhengce hui fanrong [#ta / \* $t_1$ ].  
 money market new policy will boom 3.SG  
 ‘The money market, the new policy will cause to boom.’ (Yuan 1996)

- (33) a. 他的话温暖了[我的心 / \* $\emptyset$ ].  
 tade hua wenuan-le [wode xin / \* $\emptyset$ ].  
 His word warmed my heart  
 ‘His words warmed our hearts.’ (Yuan 1996)

- b. \* 我的心<sub>1</sub>他的话温暖了[t<sub>1</sub>].  
 [wode xin]<sub>1</sub> tade hua wenuan-le [ta / \*t<sub>1</sub>].  
 my heart His word warmed 3.SG  
 ‘My heart, his words warmed.’ (Yuan 1996)

- **Conclusion:** The subcategorization argument that works well for English cannot be applied to Chinese.
- **Island argument:** Chinese exhibits so-called “island constraints” which show existence for TOPIC-movement.

### 1.2 Island constraints

- The existence of so-called “island constraints” is evidence for movement
- There are island constraints on WH-movement in English
- There are island constraints on Topic-movement in Chinese (and other languages)

#### A. WH-movement in English (Chomsky 1977; Radford 1988)

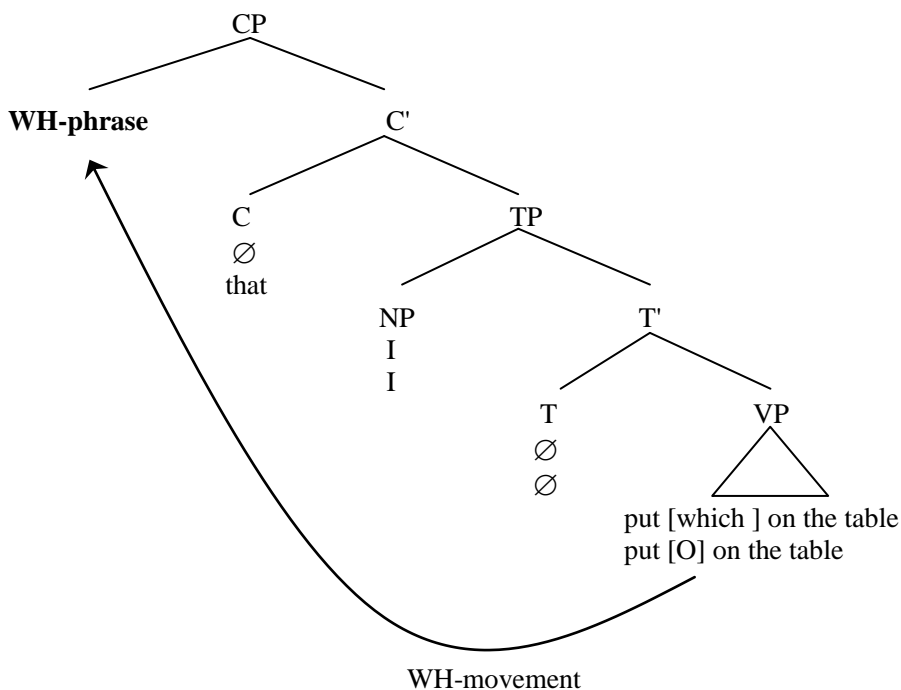
- Transitive verbs in English disallow object drop.

- (34) a. \*I put on the table.  
 b. I put the book on the table.

- In relative clauses with WH-pronouns, the WH-pronoun is moved into specifier position of the relative clause.
- **Problem:** In relative clauses with *that*, *that* as a complementizer is not moved, but the object can be dropped.

- (35) a. the book [*which*<sub>1</sub> I put t<sub>1</sub> on the table]  
 b. the book [O<sub>1</sub> *that* I put t<sub>1</sub> on the table]

- **Solution:** There is a covert (empty) pronoun, called “O”, that is moved by WH-movement



- **Evidence:** Island effects (no movement from an island unless you get drowned)

(36) *Echo-questions*

- He engineered [the downfall of who]?
- [The downfall of who] caused consternation?
- The government collapsed [after the downfall of who]?

(37) *Non-echo questions*

- |   |   |  |                           |  |                            |  |                            |
|---|---|--|---------------------------|--|----------------------------|--|----------------------------|
| <ol style="list-style-type: none"> <li>Who did he engineer [the downfall of ----]?</li> <li>*Who did [the downfall of ----] cause consternation?</li> <li>*Who did the government collapse [after the downfall of ----]?</li> </ol> | <table border="0"> <tr><td> </td><td>WH-Movement out of Object</td></tr> <tr><td> </td><td>WH-Movement out of Subject</td></tr> <tr><td> </td><td>WH-Movement out of Adjunct</td></tr> </table> |  | WH-Movement out of Object |  | WH-Movement out of Subject |  | WH-Movement out of Adjunct |
|   | WH-Movement out of Object   |  |                           |  |                            |  |                            |
|   | WH-Movement out of Subject  |  |                           |  |                            |  |                            |
|   | WH-Movement out of Adjunct  |  |                           |  |                            |  |                            |

- **Island restrictions on WH-movement:** Subjects and Adjuncts are islands; Objects are not.

- Island restrictions also in relative clauses with WH-pronouns and with *that*

(38) *Relative clauses with WH-pronoun*

- |   |   |  |                           |  |                            |  |                            |
|---|---|--|---------------------------|--|----------------------------|--|----------------------------|
| <ol style="list-style-type: none"> <li>someone <i>who</i> he engineer [the downfall of ----]</li> <li>*someone <i>who</i> [the downfall of ----] caused consternation</li> <li>*someone <i>who</i> the government collapsed [after the downfall of ----]</li> </ol> | <table border="0"> <tr><td> </td><td>WH-Movement out of Object</td></tr> <tr><td> </td><td>WH-Movement out of Subject</td></tr> <tr><td> </td><td>WH-Movement out of Adjunct</td></tr> </table> |  | WH-Movement out of Object |  | WH-Movement out of Subject |  | WH-Movement out of Adjunct |
|   | WH-Movement out of Object   |  |                           |  |                            |  |                            |
|   | WH-Movement out of Subject  |  |                           |  |                            |  |                            |
|   | WH-Movement out of Adjunct  |  |                           |  |                            |  |                            |

(39) *Relative clauses with that*

- |  |   |  |                           |  |                            |  |                            |
|--|---|--|---------------------------|--|----------------------------|--|----------------------------|
| <ol style="list-style-type: none"> <li>someone <i>that</i> he engineer [the downfall of ----]</li> <li>*someone <i>that</i> [the downfall of ----] caused consternation</li> <li>*someone <i>that</i> the government collapsed [after the downfall of ----]</li> </ol> | <table border="0"> <tr><td> </td><td>WH-Movement out of Object</td></tr> <tr><td> </td><td>WH-Movement out of Subject</td></tr> <tr><td> </td><td>WH-Movement out of Adjunct</td></tr> </table> |  | WH-Movement out of Object |  | WH-Movement out of Subject |  | WH-Movement out of Adjunct |
|  | WH-Movement out of Object   |  |                           |  |                            |  |                            |
|  | WH-Movement out of Subject  |  |                           |  |                            |  |                            |
|  | WH-Movement out of Adjunct  |  |                           |  |                            |  |                            |

- **Conclusion:** In relative clauses with *that*, we have WH-movement of a covert (empty) pronoun, called "O".

B. TOPIC-movement in Chinese (Xu 2006)

- There are island effects for Chinese topic phrases (adjuncts are omitted here)

- |   |  |  |        |
|---|--|--|--------|
| <p>(40) a. 我认识[很多 <math>t_1</math> 喜欢那个家伙的人 <math>_1</math>].<br/>         wo renshi [hen duo <math>t_1</math> xihuan nage jiahuo de ren<math>_1</math>].<br/>         I know very many like that fellow NML person<br/>         'I know many people who do like that fellow.' (Xu, 2006)</p> | <table border="0"> <tr><td> </td><td>Object</td></tr> </table> |  | Object |
|   | Object   |  |        |

- |  |  |  |                              |
|--|--|--|------------------------------|
| <p>(41) a. 那个家伙 <math>_1</math> 我认识[很多 <math>t_2</math> 喜欢他 <math>_1</math> 的人 <math>_2</math>].<br/>         nage jiahuo<math>_1</math> wo renshi [hen duo <math>t_2</math> xihuan ta<math>_1</math> de ren<math>_2</math>].<br/>         that fellow I know very many like him NML person<br/>         'That fellow, I know many people who do like.' (Xu, 2006)</p> | <table border="0"> <tr><td> </td><td>TOPIC-movement out of Object</td></tr> </table> |  | TOPIC-movement out of Object |
|  | TOPIC-movement out of Object   |  |                              |

- |   |   |  |         |
|---|---|--|---------|
| <p>(42) a. [<math>t_1</math> 看见那个人] 的学生 <math>_1</math> 来了。<br/>         [<math>t_1</math> kanjian nage ren] de xuesheng<math>_1</math> lai-le.<br/>         see that man NML student come-ASP<br/>         'The student who saw that man came.' (Xu, 2006)</p> | <table border="0"> <tr><td> </td><td>Subject</td></tr> </table> |  | Subject |
|   | Subject   |  |         |

- b. \*那个人<sub>1</sub> [[t<sub>2</sub> 看见 t<sub>1</sub>] 的学生<sub>2</sub> 来了]。  
 \* nage ren<sub>1</sub> [[t<sub>2</sub> kanjian t<sub>1</sub>] de xuesheng<sub>2</sub> lai-le].  
 that man see NML student come-ASP  
 ‘The student who saw that man came.’ (Xu, 2006)
- | TOPIC-movement out of Subject

- **Problem:** Counterexamples with grammatical TOPIC-movement out of subjects exist.

- (43) a. [t<sub>1</sub> 喜欢张三]的人<sub>1</sub> 很多。  
 [t<sub>1</sub> xihuan Zhangsan de ren hen duo  
 like Zhangsan NML person very many  
 ‘People who like Zhangsan are many.’ (Xu, 2006)
- | Subject
- b. 张三<sub>1</sub> [t<sub>2</sub> 喜欢 t<sub>1</sub>]的人<sub>2</sub> 很多。  
 [t<sub>1</sub> xihuan Zhangsan de ren hen duo  
 like Zhangsan NML person very many  
 ‘Zhangsan, people who like him are many.’ (Xu, 2006)
- | TOPIC-movement out of Subject

- Explanations to these irregular patterns were proposed but are abstract and complicated, and have not many followers.
- Generative linguists are split whether TOPIC-movement really exists in Chinese.

### 1.3 “Dangling topics”

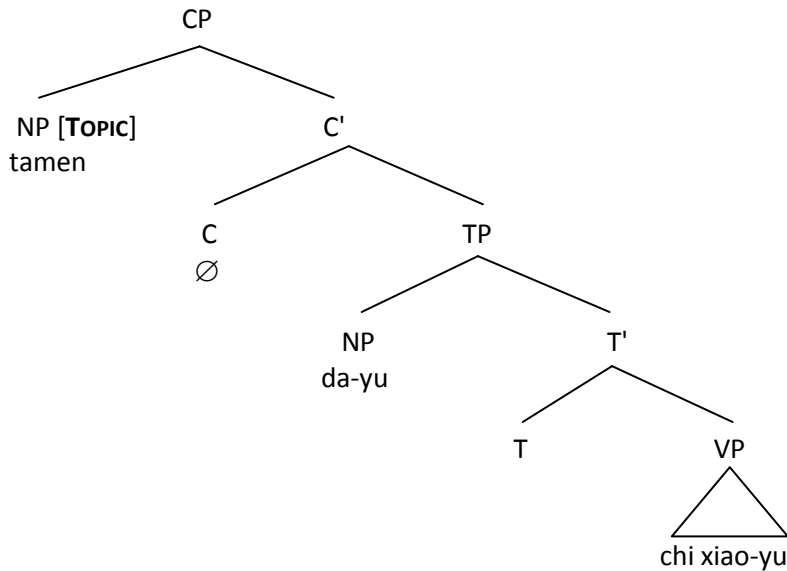
In this section, I am using material published by Pan & Hu (2008)

- “Dangling topics” are topics that cannot be linked to an argument position of the sentence.
- “Dangling topics” occur in sentence in which all arguments of the comment sentences are filled with NPs
- **Test:** Dangling topic can always be dropped from the sentence, as they are not linked to an argument position.

- (44) a. 他们：我看你，你看我。  
 Tamen, wo kan ni, ni kan wo.  
 they I look you you look me  
 ‘They look at each other (*lit.* They, I look at you, you look at me).’
- b. 他们：大鱼吃小鱼。  
 Tamen, da yu chi xiao yu.  
 they big fish eat small fish  
 ‘They act according to the law of jungle (*lit.* They, big fish eat small fish).’
- c. 他们：谁都不来。  
 Tamen, shei dou bu lai.  
 they who all NEG come  
 ‘None of them are coming (*lit.* They, nobody is coming).’
- d. 物价：纽约最贵。  
 Wujia, Niuyue zui gui.  
 thing-price New York most expensive  
 ‘As for living costs, New York is top.’

- e. 水果：香蕉最好吃。  
 Shuiguo, xiangjiao zui haochi  
 fruit banana most tasty  
 'As for fruits, the banana is most tasty.'

- Generative linguists think that “dangling topics” are not moved into specifier position of CP, but base-generated.



- **Licensing:** (a) is unacceptable because the “son” is not necessarily associated with the topic “幼儿园”
- **Licensing:** (b) is acceptable because the quantifier “only” presupposes the existence of the set of people who Zhangsan could potentially teach to draw pictures. The set of kids in the kindergarten is included in that set.

(45) a. \* 幼儿园的小孩张三教儿子画画。  
 \* You'eryuan de xiaohai, Zhangsan jiao erzi huahua.  
 kindergarten LNK children Zhangsan teach son draw-pictures  
 'As for the children in the kindergarten, Zhangsan teaches his son to draw pictures.'

b. 幼儿园的小孩张三只教儿子画画。  
 You'eryuan de xiaohai, Zhangsan zhi jiao erzi huahua.  
 kindergarten LNK children Zhangsan only teach son draw-pictures  
 'As for the children in the kindergarten, Zhangsan only teaches his son to draw pictures.'

- **“Dangling Topic” Licensing:** “Dangling topics” are licenced if and only if their set of referents intersects with a set of referents induced by an argument of the sentence.

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